



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : **Mail Stop: Missing Parts**

Xinming WANG et al. : Docket No. 2004-0441A

Serial No. 10/803,949 : **Confirmation No. 7167**

Filed March 19, 2004 :

SUBSTRATE PROCESSING METHOD
AND SUBSTRATE PROCESSING APPARATUS

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975

ADDITIONAL CLAIMS FEE TRANSMITTAL LETTER

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith is an Amendment in the above-identified application. Additional fees required as a result of this Amendment are calculated as follows:

	SMALL ENTITY		LARGE ENTITY
Total Claims exceeding 20 (not already paid for): 10 x	(\$ 9 = \$)	or	(\$18 = \$180.00)
Indep. Claims exceeding 3 (not already paid for): x	(\$43 = \$)	or	(\$86 = \$)
<input type="checkbox"/> Multiple Dep. Claim(s) (if there previously were none): +	(\$145 = \$)	or	(\$290 = \$)
Total Additional Fee =	<u>\$</u>	or	<u>\$180.00</u>


☐ Small entity status of this application is established by the verified statement under 37 C.F.R. 1.9 and 1.27 which
☐ is enclosed or
☐ has been previously submitted.

☒ A check in the amount of \$180.00 is enclosed.

- [] Please charge Deposit Account No. 23-0975 the amount of \$ to cover additional fee. The Commissioner is authorized to charge any deficiency associated with this communication or to credit any overpayment to the Deposit Account. The original and two copies of this document are enclosed.

Respectfully submitted,

Xinming WANG et al.

By 
Michael S. Huppert
Registration No. 40,268
Attorney for Applicants

MSH/kjf
Washington, D.C. 20006-1021
Telephone (202) 721-8200
Facsimile (202) 721-8250
September 3, 2004



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Xinming WANG et al.

Serial No. 10/803,949

Filed March 19, 2004

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Confirmation No. 7167

Mail Stop: MISSING PARTS

Attorney Docket No. 2004_0441A

SUBSTRATE PROCESSING METHOD AND
SUBSTRATE PROCESSING APPARATUS

PATENT OFFICE FEE TRANSMITTAL FORM

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Attached hereto is a check in the amount of \$550.00 to cover Patent Office fees relating to filing the following attached papers:

Late filing of executed Declaration	<u>\$130.00</u>
Petition for Extension of Time	<u>\$110.00</u>
Verified English Translation	<u>\$130.00</u>
Additional Claims Fee Transmittal Letter:	<u>\$180.00</u>

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.

Respectfully submitted,

Xinming WANG et al.

By Michael S. Huppert

Michael S. Huppert
Registration No. 40,268
Attorney for Applicants

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Washington, D.C. 20006-1021
Telephone (202) 721-8200
September 3, 2004

[Check No. 63894]

2004_0441A



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :
Xinming WANG et al. : **Confirmation No. 7167**
Serial No. 10/803,949 : **Mail Stop: MISSING PARTS**
Filed March 19, 2004 : **Attorney Docket No. 2004_0441A**

SUBSTRATE PROCESSING METHOD
AND SUBSTRATE PROCESSING APPARATUS

REPLY TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975

Sir:

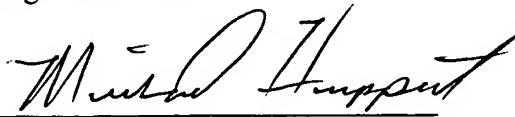
In response to the Notice to File Missing Parts of Nonprovisional Application dated June 4, 2004, submitted herewith are the required 1) Declaration and Power of Attorney; 2) English translation with Translator's Declaration; and 3) replacement drawings in compliance with 37 C.F.R. §1.84.

Also enclosed is the PTO surcharge of \$260.00, required by 37 C.F.R. 1.16(e), and a copy of the Notice.

Favorable action on the merits is now requested.

Respectfully submitted,

Xinming WANG et al.

By: 

Michael S. Huppert
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Attorney for Applicants

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Facsimile (202) 721-8250
September 3, 2004

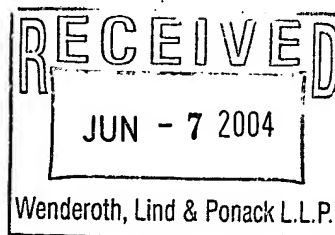


UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
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 Alexandria, Virginia 22313-1450
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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/803,949	03/19/2004	Xinming Wang	2004_0441A

000513
 WENDEROTH, LIND & PONACK, L.L.P.
 2033 K STREET N. W.
 SUITE 800
 WASHINGTON, DC 20006-1021



CONFIRMATION NO. 7167

FORMALITIES LETTER



OC000000012867352

Date Mailed: 06/04/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
 - The drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) (see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1)). See Figure(s) 11.

SUMMARY OF FEES DUE:

09/07/2004 KBETEM1 00000030 10803949
 01 FC:1202 180.00 DP

09/07/2004 KBETEM1 00000029 10803949
 01 FC:1051 130.00 DP

Total additional fee(s) required for this application is **\$260** for a Large Entity

- **\$130** Late oath or declaration Surcharge.
- **\$130** for English translation surcharge required.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE